

Grant Application Package

Opportunity Title:	Office of Postsecondary Education (OPE): Upward Bound P
Offering Agency:	U.S. Department of Education
CFDA Number:	84.047
CFDA Description:	TRIO_Opward Bound
Opportunity Number:	ED-GRANTS-101716-001
Competition ID:	ED-GRANTS-101716-001
Opportunity Open Date:	10/17/2016
Opportunity Close Date:	11/28/2016
Agency Contact:	
	Education Program Specialist E-mail:
	Phone:
I will be submitting a organization. Application Filing Name:	pplications on my behalf, and not on behalf of a company, state, local or tribal government, academia, or other type of Northern New Mexico College
Select Forms to Con	onlete
Mandatory	
Application t	or Federal Assistance (SF-424)
U.S. DEPAF PROGRAM	RTMENT OF EDUCATION BUDGET INFORMATION NON-CONSTRUCTION S
Assurances	for Non-Construction Programs (SF-424B)
ED SF424 S	Supplement
	_obbying Form
Disclosure o	f Lobbying Activities
ED GEPA42	77 Form
Project Narr	ative Attachment Form
ED Abstract	
Other Attach	
Optional	

Instructions

Show Instructions >>

This electronic grants application is intended to be used to apply for the specific Federal funding opportunity referenced here.

If the Federal funding opportunity listed is not the opportunity for which you want to apply, close this application package by clicking on the "Cancel" button at the top of this screen. You will then need to locate the correct Federal funding opportunity, download its application and then apply.

OMB Number. 4040-0004 Expiration Date: 8/31/2016

Application for Federal Assistance SF-424						
* 1. Type of Submiss Preapplication Application Changed/Corre	ion: ected Application	X Ne	e of Application: ew ontinuation evision		If Revision, select appropriate letter(s): Other (Specify):	
* 3. Date Received: 11/23/2016		4. Applio	cant Identifier:			
5a. Federal Entity Ide	entifier:				5b. Federal Award Identifier:	
State Use Only:						
6. Date Received by	State:		7. State Applicatio	n lo	dentifier:	
8. APPLICANT INFORMATION:						
* a. Legal Name: N	orthern New Me	xico C	ollege			
* b. Employer/Taxpay					* c. Organizational DUNS:	
d. Address:						
* Street1:	921 Paseo de	Onate				
Street2:						
* City:	Espanola					
County/Parish:	Rio Arriba					
* State:	NM: New Mexico ce:					
Province: * Country:					Hear Harten emarks	
* Zip / Postal Code:	USA: UNITED STATES de: 875322649					
e. Organizational Unit:						
Department Name:					Division Name:	
Department Name.				٦		
f. Name and contact information of person to be contacted on matters involving this application:						
Prefix:	11,000,000	1	* First Nar			
Middle Name:						
* Last Name:						
Suffix:						
Title:						
Organizational Affilia	tion:					
* Telephone Number		.			Fax Number:	
* Email:						

Application for Federal Assistance SF-424
* 9. Type of Applicant 1: Select Applicant Type:
H: Public/State Controlled Institution of Higher Education
Type of Applicant 2: Select Applicant Type:
S: Hispanic-serving Institution
Type of Applicant 3: Select Applicant Type:
X: Other (specify)
* Other (specify):
Native American-serving
* 10. Name of Federal Agency:
U.S. Department of Education
11. Catalog of Federal Domestic Assistance Number:
84.047
CFDA Title:
TRIO_Upward Bound
* 12. Funding Opportunity Number:
ED-GRANTS-101716-001
* Title:
Office of Postsecondary Education (OPE): Upward Bound Program CFDA Number 84.047A
13. Competition Identification Number:
ED-GRANTS-101716-001
Title:
14. Areas Affected by Project (Cities, Counties, States, etc.):
Add Attachment Delete Attachment View Attachment
* 15. Descriptive Title of Applicant's Project:
Northern New Mexico College Upward Bound Project
Attach supporting documents as specified in agency instructions.
Add Attachments Delete Attachments View Attachments

Application for Federal Assistance SF-424					
16. Congressional Districts Of:					
* a. Applicant NM003	* b. Program/Project NM003				
Attach an additional list of Program/Project Congressional Distr	icts if needed.				
	Add Attachment Delete Attachment View Attachment				
17. Proposed Project:					
* a. Start Date: 09/01/2017	* b. End Date: 08/31/2022				
18. Estimated Funding (\$):					
* a. Federal 256, 986.00					
* b. Applicant 0 . 00					
* c. State 0 . 00					
* d. Local 0.00					
* e. Other 0.00					
* f. Program Income 0.00					
*g. TOTAL 256, 986.00	·				
herein are true, complete and accurate to the best of a comply with any resulting terms if I accept an award. I am subject me to criminal, civil, or administrative penalties. (X ** I AGREE	Add Attachment Delete Attachment View Attachment ments contained in the list of certifications** and (2) that the statements my knowledge. I also provide the required assurances** and agree to a aware that any false, fictitious, or fraudulent statements or claims may				
specific instructions. Authorized Representative:	where you may obtain this list, is contained in the announcement or agency				
Prefix: * Fir	rst Name:				
Middle Name:					
* Last Name:					
Suffix:					
* Title:					
* Telephone Number:	Fax Number:				
* Email:					
* Signature of Authorized Representative:	* Date Signed: 11/23/2016				

U.S. DEPARTMENT OF EDUCATION BUDGET INFORMATION NON-CONSTRUCTION PROGRAMS

OMB Number: 1894-0008 Expiration Date: 06/30/2017

Name of Institution/Organization	1777/2004		Applicants re	Applicants requesting funding for only one year should complete the column under	e year should complete th	e column under
Northern New Mexico College			Project Year applicable co	"Project Year 1." Applicants requesting funding for multi-year grants sho applicable columns. Please read all instructions before completing form.	unding for multi-year grant uctions before completing	ts should complete all form.
		SECTION A U.S. DEPARTME	SECTION A - BUDGET SUMMARY S. DEPARTMENT OF EDUCATION FUNDS	IARY ON FUNDS		
Budget Categories	Project Year 1 (a)	Project Year 2 (b)	Project Year 3 (c)	Project Year 4 (d)	Project Year 5 (e)	Total (f)
1. Personnel	141,030.00					141,030.00
2. Fringe Benefits	40,900.00		The state of the s			40,900.00
3. Travel	12,470.00					12,470.00
4. Equipment	3,000.00		The state of the s			3,000.00
5. Supplies	5,550.00					5,550.00
6. Contractual	00.00					0.00
7. Construction	00.00					0.00
8. Other	10,000.00					10,000.00
9. Total Direct Costs (lines 1-8)	212,950.00				The state of the s	212,950.00
10. Indirect Costs*	17,036.00					17,036.00
11. Training Stipends	27,000.00					27,000.00
12. Total Costs (lines 9-11)	256,986.00					256,986.00
*Indirect Cost Information (To Be Completed by Your Business Office): If you are remisesting reimbursement for indirect costs on line 10 places are used to place and the following a market on the 10 places are used to be seen and the following a market on the 10 places are used to be seen as the following a market on the 10 places are used to be seen as the following a market on the 10 places are used to be seen as the following a market on the 10 places are used to be seen as the following a market on the 10 places are used to be seen as the 10 places are use	ompleted by Your Busine	ss Office):	. conciposition			
(1) Do you have an Indirect Cost Rate Agreement approved by the Federal government?	Rate Agreement approved b	y the Federal government	ig questions.	0		
(2) If yes, please provide the following information:	wing information:]			
Period Covered by the Indirect Cost Rate Agreement:	ct Cost Rate Agreement:	From: 03/19/2014	To: 12/31/2016	(mm/dd/yyyy)		
Approving Federal agency:	ED X Other (please	ase specify): Department	of Health and Human	Services		
The Indirect Cost Rate is	30.00 %.			The state of the s		The state of the s
(3) If this is your first Federal grant, and you do not have an approved indirect cost rate agreement, are not a State, Local government or Indian Tribe, and are not funded under a training rate program or a restricted rate program, do you want to use the de minimis rate of 10% of MTDC?	t, and you do not have an a ogram, do you want to use t	pproved indirect cost rate he de minimis rate of 10%	agreement, are not a State	e, Local government or India	in Tribe, and are not funde comply with the requireme	overnment or Indian Tribe, and are not funded under a training rate if yes. you must comply with the requirements of 2 CFR \$ 200.414(f).
(4) If you do not have an approved indirect cost rate agreement,	d indirect cost rate agreeme	nt, do you want to use the Jindirect cost rate agreem	temporary rate of 10% of tent within 90 days after the	~ =	ss?	S 75 560
(5) For Restricted Rate Programs (check one) Are you using a	(check one) Are you using	g a restricted indirect cost rate that:	rate that:			
	roved Indirect Cost Rate Ag	reement? Or. Comp	olies with 34 CFR 76.564(c		The Bestricted Indirect Cost Bate is	% JUU 8

Name of Institution/Organization			Applicants red	Applicants requesting funding for only one year		
Northern New Mexico College			should complet	e the column under "Project Year		
			1." Applicants grants should of Please read all form.	 Applicants requesting funding for multi-year grants should complete all applicable columns. Please read all instructions before completing form. 		
		SECTION NON	SECTION B - BUDGET SUMMARY NON-FEDERAL FUNDS	MARY S		
Budget Categories	Project Year 1 (a)	Project Year 2 (b)	Project Year 3 (c)	Project Year 4 (d)	Project Year 5 (e)	Total (f)
1. Personnel						
2. Fringe Benefits						
3. Travel				The state of the s		
4. Equipment						
5. Supplies						
6. Contractual					The state of the s	
7. Construction						
8. Other					7,000	
9. Total Direct Costs (lines 1-8)						
10. Indirect Costs				The state of the s		
11. Training Stipends				The state of the s		The state of the s
12. Total Costs (lines 9-11)						
	SEC	SECTION C - BUDGE	C - BUDGET NARRATIVE (see instructions)	see instructions)		

ED 524

OMB Number: 4040-0007 Expiration Date: 01/31/2019

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE:

Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- 5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- 6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C.§§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation

- Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U. S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale. rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- 7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

- Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

- 13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
APPLICANT ORGANIZATION	DATE SUBMITTED	
Northern New Mexico College	11/23/2016	

OMB Number: 1894-0007 Expiration Date: 08/31/2017

U.S. DEPARTMENT OF EDUCATION SUPPLEMENTAL INFORMATION FOR THE SF-424

1. Project Director:

Prefix:	First Name:	Middle Name:	Last Name:	Suffix:
			1	
Address:				
Street1:	921 Paseo de Onate			
Street2:				
City:	Espanola			
County:	Rio Arriba			
State:	NM: New Mexico			
Zip Code:	875322649			
Country:	USA: UNITED STATES			
Phone Numbe	er (give area code) Fax	Number (give area code)		
Thore warms	er (give area code)	rumber (give area code)		
Email Address				
Linaii Address				
. Novice Appli				
			(and included in the definitions	page in the attached instructions)?
Yes	No Not applicable to	tnis program		
Human Subje	ects Research:			
a. Are any res	search activities involving huma	an subjects planned at any tim	ne during the proposed Project	Period?
Yes	X No			
b. Are ALL the	e research activities proposed	designated to be exempt from	the regulations?	
Yes Pro	ovide Exemption(s) #:	1 2 3] 4	
No Pro	ovide Assurance #, if available			
- 16 11 1 1	a alagas allesti. "F	3 LR		
indicated in	e, please attach your "Exempt the definitions page in the atta	≺esearcn" or "Nonexempt Res iched instructions.	search" narrative to this form as	3
	· · · · · · · · · · · · · · · · · · ·	Ad	d Attachment Delete A	ttachment View Attachment

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

* APPLICANT'S ORGANIZATION Northern New Mexico College	
* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE Prefix: * First Name: * Last Name: * Title: * Ti	Middle Name: Suffix:
* SIGNATURE:	* DATE: 11/23/2016

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C.1352

Approved by OMB 4040-0013

1. * Type of Federal Action:	2. * Status of Fede		3. * Report Ty		
a. contract	a. bid/offer/applica	ition	🗙 a. initial filir		
b. grant c. cooperative agreement	b. initial award		b. material	change	
d. loan	c. post-award				
e. loan guarantee					
f. loan insurance					
4. Name and Address of Reporting	Entity:	<u> </u>			
Prime SubAwardee	•				
* Name					
*Street 1 921 Paseo de Onate		Street 2			
*City Espanola	State NM: New Mexico			Zip 875322649	
Congressional District, if known: NM003					
5. If Reporting Entity in No.4 is Subawardee, Enter Name and Address of Prime:					
6. * Federal Department/Agency:		7. * Federal Prog	ram Name/Des	cription:	
Department of Education		TRIO_Upward Bound			
		CFDA Number, if applicab	le: 84.047		
8. Federal Action Number, if known:		9. Award Amount	t, if known:		
		\$			
10. a. Name and Address of Lobbying	Registrant:			. , , , , , , , , , , , , , , , , , , ,	
Prefix *First Name		Middle Name			
* Last Name		Suffix			
* Street 1		itreet 2		 1	
921 Paseo de Onate *City	- State		- 10 200 200 200 200 200 200 200 200 200	Zip OZS 200 SIO	
Espanola	NM: New Mexico			875322649	
b. Individual Performing Services (inclu	ding address if different from No.	. 10a)			
Prefix *First Name	2	Middle Name			
* Last Name		Suffix			
* Street 1		Street 2			
* City	State	<u> </u>		Zip	
4.4. Information and could through this form in authorized t	Landing 24 LL C. C. applies 4250	This displayer of labbuing sati	ition in a material varia	appletion of fact was which	
 Information requested through this form is authorized telliance was placed by the tier above when the transar the Congress semi-annually and will be available for p \$10,000 and not more than \$100,000 for each such fail 	ction was made or entered into. ublic inspection. Any person who	This disclosure is required purs	uant to 31 U.S.C. 1352	This information will be reported to	
* Signature:					
*Name: Prefix *First Name		Middle Nar	me		
* Last Name	Contract Con	Suffix			
Title:	Telephone No.:		Date: 11/23/20		
Federal Use Only:			Autho Stand	rized for Local Reproduction ard Form - LLL (Rev. 7-97)	

NOTICE TO ALL APPLICANTS

OMB Number: 1894-0005 Expiration Date: 03/31/2017

The purpose of this enclosure is to inform you about a new provision in the Department of Education's General Education Provisions Act (GEPA) that applies to applicants for new grant awards under Department programs. This provision is Section 427 of GEPA, enacted as part of the Improving America's Schools Act of 1994 (Public Law (P.L.) 103-382).

To Whom Does This Provision Apply?

Section 427 of GEPA affects applicants for new grant awards under this program. ALL APPLICANTS FOR NEW AWARDS MUST INCLUDE INFORMATION IN THEIR APPLICATIONS TO ADDRESS THIS NEW PROVISION IN ORDER TO RECEIVE FUNDING UNDER THIS PROGRAM.

(If this program is a State-formula grant program, a State needs to provide this description only for projects or activities that it carries out with funds reserved for State-level uses. In addition, local school districts or other eligible applicants that apply to the State for funding need to provide this description in their applications to the State for funding. The State would be responsible for ensuring that the school district or other local entity has submitted a sufficient section 427 statement as described below.)

What Does This Provision Require?

Section 427 requires each applicant for funds (other than an individual person) to include in its application a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its Federally-assisted program for students, teachers, and other program beneficiaries with special needs. This provision allows applicants discretion in developing the required description. The statute highlights six types of barriers that can impede equitable access or participation: gender, race, national origin, color, disability, or age. Based on local circumstances, you should determine whether these or other barriers may prevent your students, teachers, etc. from such access or participation in, the Federally-funded project or activity. The description in your application of steps to be taken to overcome these barriers need not be lengthy; you may provide a clear and succinct description of how you plan to address those barriers that are applicable to your circumstances. In addition, the information may be provided in a single narrative, or, if appropriate, may

be discussed in connection with related topics in the application.

Section 427 is not intended to duplicate the requirements of civil rights statutes, but rather to ensure that, in designing their projects, applicants for Federal funds address equity concerns that may affect the ability of certain potential beneficiaries to fully participate in the project and to achieve to high standards. Consistent with program requirements and its approved application, an applicant may use the Federal funds awarded to it to eliminate barriers it identifies.

What are Examples of How an Applicant Might Satisfy the Requirement of This Provision?

The following examples may help illustrate how an applicant may comply with Section 427.

- (1) An applicant that proposes to carry out an adult literacy project serving, among others, adults with limited English proficiency, might describe in its application how it intends to distribute a brochure about the proposed project to such potential participants in their native language.
- (2) An applicant that proposes to develop instructional materials for classroom use might describe how it will make the materials available on audio tape or in braille for students who are blind.
- (3) An applicant that proposes to carry out a model science program for secondary students and is concerned that girls may be less likely than boys to enroll in the course, might indicate how it intends to conduct "outreach" efforts to girls, to encourage their enrollment.
- (4) An applicant that proposes a project to increase school safety might describe the special efforts it will take to address concern of lesbian, gay, bisexual, and transgender students, and efforts to reach out to and involve the families of LGBT students.

We recognize that many applicants may already be implementing effective steps to ensure equity of access and participation in their grant programs, and we appreciate your cooperation in responding to the requirements of this provision.

Estimated Burden Statement for GEPA Requirements

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (Public Law 103-382). Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Education, 400 Maryland Ave., SW, Washington, DC 20210-4537 or email ICDocketMgr@ed.gov and reference the OMB Control Number 1894-0005.

Optional - You may attach 1 file to this page.

NNMC Upward Bound GEPA.pdf

Add Attachment

Delete Attachment

View Attachment

* Mandatory Project Narrative File Filename: NNMC Project Narrative.pdf

Add Mandatory Project Narrative File

Delete Mandatory Project Narrative File

View Mandatory Project Narrative File

To add more Project Narrative File attachments, please use the attachment buttons below.

Add Optional Project Narrative File

Delete Optional Project Narrative File

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Abstract

The abstract narrative must not exceed one page and should use language that will be understood by a range of audiences. For all projects, include the project title (if applicable), goals, expected outcomes and contributions for research, policy, practice, etc. Include population to be served, as appropriate. For research applications, also include the following:

- Theoretical and conceptual background of the study (i.e., prior research that this investigation builds upon and that
 provides a compelling rationale for this study)
- Research issues, hypotheses and questions being addressed
- Study design including a brief description of the sample including sample size, methods, principals dependent, independent, and control variables, and the approach to data analysis.

[Note: For a non-electronic submission, include the name and address of your organization and the name, phone number and e-mail address of the contact person for this project.]

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